

AMENDMENT NO.

CAL. NO.

October 15, 2002

Purpose: To provide for cyber security research and development.

IN THE SENATE OF THE UNITED STATES—107TH Cong., 2D Sess.

S. 2182, 107TH Congress, 2D Session

OCTOBER —, 2002

() Referred to the Committee on ———— and
ordered to be printed

() Ordered to lie on the table and to be printed

INTENDED to be proposed by Mr. WYDEN (for himself and
Mr. ALLEN)

Viz: Strike out all after the enacting clause and insert the
following:

1 **SECTION 1. SHORT TITLE.**

2 This Act may be cited as the “Cyber Security Re-
3 search and Development Act”.

4 **SEC. 2. FINDINGS.**

5 The Congress finds the following:

6 (1) Revolutionary advancements in computing
7 and communications technology have interconnected
8 government, commercial, scientific, and educational

1 infrastructures—including critical infrastructures for
2 electric power, natural gas and petroleum production
3 and distribution, telecommunications, transportation,
4 water supply, banking and finance, and emergency
5 and government services—in a vast, interdependent
6 physical and electronic network.

7 (2) Exponential increases in interconnectivity
8 have facilitated enhanced communications, economic
9 growth, and the delivery of services critical to the
10 public welfare, but have also increased the con-
11 sequences of temporary or prolonged failure.

12 (3) A Department of Defense Joint Task Force
13 concluded after a 1997 United States information
14 warfare exercise that the results “clearly dem-
15 onstrated our lack of preparation for a coordinated
16 cyber and physical attack on our critical military
17 and civilian infrastructure”.

18 (4) Computer security technology and systems
19 implementation lack—

20 (A) sufficient long term research funding;

21 (B) adequate coordination across Federal
22 and State government agencies and among gov-
23 ernment, academia, and industry; and

24 (C) sufficient numbers of outstanding re-
25 searchers in the field.

1 (5) Accordingly, Federal investment in com-
2 puter and network security research and develop-
3 ment must be significantly increased to—

4 (A) improve vulnerability assessment and
5 technological and systems solutions;

6 (B) expand and improve the pool of infor-
7 mation security professionals, including re-
8 searchers, in the United States workforce; and

9 (C) better coordinate information sharing
10 and collaboration among industry, government,
11 and academic research projects.

12 (6) While African-Americans, Hispanics, and
13 Native Americans constitute 25 percent of the total
14 United States workforce and 30 percent of the col-
15 lege-age population, members of these minorities
16 comprise less than 7 percent of the United States
17 computer and information science workforce.

18 **SEC. 3. DEFINITIONS.**

19 In this Act:

20 (1) DIRECTOR.—The term “Director” means
21 the Director of the National Science Foundation.

22 (2) INSTITUTION OF HIGHER EDUCATION.—The
23 term “institution of higher education” has the
24 meaning given that term in section 101(a) of the
25 Higher Education Act of 1965 (20 U.S.C. 1001(a)).

1 **SEC. 4. NATIONAL SCIENCE FOUNDATION RESEARCH.**

2 (a) COMPUTER AND NETWORK SECURITY RESEARCH
3 GRANTS.—

4 (1) IN GENERAL.—The Director shall award
5 grants for basic research on innovative approaches
6 to the structure of computer and network hardware
7 and software that are aimed at enhancing computer
8 security. Research areas may include—

9 (A) authentication, cryptography, and
10 other secure data communications technology;

11 (B) computer forensics and intrusion de-
12 tection;

13 (C) reliability of computer and network ap-
14 plications, middleware, operating systems, con-
15 trol systems, and communications infrastruc-
16 ture;

17 (D) privacy and confidentiality;

18 (E) network security architecture, includ-
19 ing tools for security administration and anal-
20 ysis;

21 (F) emerging threats;

22 (G) vulnerability assessments and tech-
23 niques for quantifying risk;

24 (H) remote access and wireless security;
25 and

1 (I) enhancement of law enforcement ability
2 to detect, investigate, and prosecute cyber-
3 crimes, including those that involve piracy of in-
4 tellectual property.

5 (2) MERIT REVIEW; COMPETITION.—Grants
6 shall be awarded under this section on a merit-re-
7 viewed competitive basis.

8 (3) AUTHORIZATION OF APPROPRIATIONS.—
9 There are authorized to be appropriated to the Na-
10 tional Science Foundation to carry out this
11 subsection—

12 (A) \$35,000,000 for fiscal year 2003;

13 (B) \$40,000,000 for fiscal year 2004;

14 (C) \$46,000,000 for fiscal year 2005;

15 (D) \$52,000,000 for fiscal year 2006; and

16 (E) \$60,000,000 for fiscal year 2007.

17 (b) COMPUTER AND NETWORK SECURITY RESEARCH
18 CENTERS.—

19 (1) IN GENERAL.—The Director shall award
20 multiyear grants, subject to the availability of appro-
21 priations, to institutions of higher education, non-
22 profit research institutions, or consortia thereof to
23 establish multidisciplinary Centers for Computer and
24 Network Security Research. Institutions of higher
25 education, nonprofit research institutions, or con-

1 sortia thereof receiving such grants may partner
2 with 1 or more government laboratories or for-profit
3 institutions, or other institutions of higher education
4 or nonprofit research institutions.

5 (2) MERIT REVIEW; COMPETITION.—Grants
6 shall be awarded under this subsection on a merit-
7 reviewed competitive basis.

8 (3) PURPOSE.—The purpose of the Centers
9 shall be to generate innovative approaches to com-
10 puter and network security by conducting cutting-
11 edge, multidisciplinary research in computer and
12 network security, including the research areas de-
13 scribed in subsection (a)(1).

14 (4) APPLICATIONS.—An institution of higher
15 education, nonprofit research institution, or con-
16 sortia thereof seeking funding under this subsection
17 shall submit an application to the Director at such
18 time, in such manner, and containing such informa-
19 tion as the Director may require. The application
20 shall include, at a minimum, a description of—

21 (A) the research projects that will be un-
22 dertaken by the Center and the contributions of
23 each of the participating entities;

24 (B) how the Center will promote active col-
25 laboration among scientists and engineers from

1 different disciplines, such as computer sci-
2 entists, engineers, mathematicians, and social
3 science researchers;

4 (C) how the Center will contribute to in-
5 creasing the number and quality of computer
6 and network security researchers and other pro-
7 fessionals, including individuals from groups
8 historically underrepresented in these fields;
9 and

10 (D) how the center will disseminate re-
11 search results quickly and widely to improve
12 cyber security in information technology net-
13 works, products, and services.

14 (5) CRITERIA.—In evaluating the applications
15 submitted under paragraph (4), the Director shall
16 consider, at a minimum—

17 (A) the ability of the applicant to generate
18 innovative approaches to computer and network
19 security and effectively carry out the research
20 program;

21 (B) the experience of the applicant in con-
22 ducting research on computer and network se-
23 curity and the capacity of the applicant to fos-
24 ter new multidisciplinary collaborations;

1 (C) the capacity of the applicant to attract
2 and provide adequate support for a diverse
3 group of undergraduate and graduate students
4 and postdoctoral fellows to pursue computer
5 and network security research; and

6 (D) the extent to which the applicant will
7 partner with government laboratories, for-profit
8 entities, other institutions of higher education,
9 or nonprofit research institutions, and the role
10 the partners will play in the research under-
11 taken by the Center.

12 (6) ANNUAL MEETING.—The Director shall
13 convene an annual meeting of the Centers in order
14 to foster collaboration and communication between
15 Center participants.

16 (7) AUTHORIZATION OF APPROPRIATIONS.—
17 There are authorized to be appropriated for the Na-
18 tional Science Foundation to carry out this
19 subsection—

20 (A) \$12,000,000 for fiscal year 2003;
21 (B) \$24,000,000 for fiscal year 2004;
22 (C) \$36,000,000 for fiscal year 2005;
23 (D) \$36,000,000 for fiscal year 2006; and
24 (E) \$36,000,000 for fiscal year 2007.

1 **SEC. 5. NATIONAL SCIENCE FOUNDATION COMPUTER AND**
2 **NETWORK SECURITY PROGRAMS.**

3 (a) COMPUTER AND NETWORK SECURITY CAPACITY
4 BUILDING GRANTS.—

5 (1) IN GENERAL.—The Director shall establish
6 a program to award grants to institutions of higher
7 education (or consortia thereof) to establish or im-
8 prove undergraduate and master's degree programs
9 in computer and network security, to increase the
10 number of students, including the number of stu-
11 dents from groups historically underrepresented in
12 these fields, who pursue undergraduate or master's
13 degrees in fields related to computer and network se-
14 curity, and to provide students with experience in
15 government or industry related to their computer
16 and network security studies.

17 (2) MERIT REVIEW.—Grants shall be awarded
18 under this subsection on a merit-reviewed competi-
19 tive basis.

20 (3) USE OF FUNDS.—Grants awarded under
21 this subsection shall be used for activities that en-
22 hance the ability of an institution of higher edu-
23 cation (or consortium thereof) to provide high-qual-
24 ity undergraduate and master's degree programs in
25 computer and network security and to recruit and

1 retain increased numbers of students to such pro-
2 grams. Activities may include—

3 (A) revising curriculum to better prepare
4 undergraduate and master's degree students for
5 careers in computer and network security;

6 (B) establishing degree and certificate pro-
7 grams in computer and network security;

8 (C) creating opportunities for under-
9 graduate students to participate in computer
10 and network security research projects;

11 (D) acquiring equipment necessary for stu-
12 dent instruction in computer and network secu-
13 rity, including the installation of testbed net-
14 works for student use;

15 (E) providing opportunities for faculty to
16 work with local or Federal Government agen-
17 cies, private industry, nonprofit research insti-
18 tutions, or other academic institutions to de-
19 velop new expertise or to formulate new re-
20 search directions in computer and network se-
21 curity;

22 (F) establishing collaborations with other
23 academic institutions or academic departments
24 that seek to establish, expand, or enhance pro-
25 grams in computer and network security;

1 (G) establishing student internships in
2 computer and network security at government
3 agencies or in private industry;

4 (H) establishing collaborations with other
5 academic institutions to establish or enhance a
6 web-based collection of computer and network
7 security courseware and laboratory exercises for
8 sharing with other institutions of higher edu-
9 cation, including community colleges;

10 (I) establishing or enhancing bridge pro-
11 grams in computer and network security be-
12 tween community colleges and universities; and

13 (K) any other activities the Director deter-
14 mines will accomplish the goals of this sub-
15 section.

16 (4) SELECTION PROCESS.—

17 (A) APPLICATION.—An institution of high-
18 er education (or a consortium thereof) seeking
19 funding under this subsection shall submit an
20 application to the Director at such time, in such
21 manner, and containing such information as the
22 Director may require. The application shall in-
23 clude, at a minimum—

24 (i) a description of the applicant's
25 computer and network security research

1 and instructional capacity, and in the case
2 of an application from a consortium of in-
3 stitutions of higher education, a descrip-
4 tion of the role that each member will play
5 in implementing the proposal;

6 (ii) a comprehensive plan by which the
7 institution or consortium will build instruc-
8 tional capacity in computer and informa-
9 tion security;

10 (iii) a description of relevant collabo-
11 rations with government agencies or pri-
12 vate industry that inform the instructional
13 program in computer and network secu-
14 rity;

15 (iv) a survey of the applicant's his-
16 toric student enrollment and placement
17 data in fields related to computer and net-
18 work security and a study of potential en-
19 rollment and placement for students en-
20 rolled in the proposed computer and net-
21 work security program; and

22 (v) a plan to evaluate the success of
23 the proposed computer and network secu-
24 rity program, including post-graduation as-
25 sessment of graduate school and job place-

1 ment and retention rates as well as the rel-
2 evance of the instructional program to
3 graduate study and to the workplace.

4 (B) AWARDS.—(i) The Director shall en-
5 sure, to the extent practicable, that grants are
6 awarded under this subsection in a wide range
7 of geographic areas and categories of institu-
8 tions of higher education, including minority
9 serving institutions.

10 (ii) The Director shall award grants under
11 this subsection for a period not to exceed 5
12 years.

13 (5) ASSESSMENT REQUIRED.—The Director
14 shall evaluate the program established under this
15 subsection no later than 6 years after the establish-
16 ment of the program. At a minimum, the Director
17 shall evaluate the extent to which the program
18 achieved its objectives of increasing the quality and
19 quantity of students, including students from groups
20 historically underrepresented in computer and net-
21 work security related disciplines, pursuing under-
22 graduate or master's degrees in computer and net-
23 work security.

24 (6) AUTHORIZATION OF APPROPRIATIONS.—
25 There are authorized to be appropriated to the Na-

1 tional Science Foundation to carry out this
2 subsection—

3 (A) \$15,000,000 for fiscal year 2003;

4 (B) \$20,000,000 for fiscal year 2004;

5 (C) \$20,000,000 for fiscal year 2005;

6 (D) \$20,000,000 for fiscal year 2006; and

7 (E) \$20,000,000 for fiscal year 2007.

8 (b) SCIENTIFIC AND ADVANCED TECHNOLOGY ACT
9 OF 1992.—

10 (1) GRANTS.—The Director shall provide
11 grants under the Scientific and Advanced Tech-
12 nology Act of 1992 (42 U.S.C. 1862i) for the pur-
13 poses of section 3(a) and (b) of that Act, except that
14 the activities supported pursuant to this subsection
15 shall be limited to improving education in fields re-
16 lated to computer and network security.

17 (2) AUTHORIZATION OF APPROPRIATIONS.—
18 There are authorized to be appropriated to the Na-
19 tional Science Foundation to carry out this
20 subsection—

21 (A) \$1,000,000 for fiscal year 2003;

22 (B) \$1,250,000 for fiscal year 2004;

23 (C) \$1,250,000 for fiscal year 2005;

24 (D) \$1,250,000 for fiscal year 2006; and

25 (E) \$1,250,000 for fiscal year 2007.

1 (c) GRADUATE TRAINEESHIPS IN COMPUTER AND
2 NETWORK SECURITY RESEARCH.—

3 (1) IN GENERAL.—The Director shall establish
4 a program to award grants to institutions of higher
5 education to establish traineeship programs for
6 graduate students who pursue computer and net-
7 work security research leading to a doctorate degree
8 by providing funding and other assistance, and by
9 providing graduate students with research experience
10 in government or industry related to the students'
11 computer and network security studies.

12 (2) MERIT REVIEW.—Grants shall be provided
13 under this subsection on a merit-reviewed competi-
14 tive basis.

15 (3) USE OF FUNDS.—An institution of higher
16 education shall use grant funds for the purposes
17 of—

18 (A) providing traineeships to students who
19 are citizens, nationals, or lawfully admitted per-
20 manent resident aliens of the United States and
21 are pursuing research in computer or network
22 security leading to a doctorate degree;

23 (B) paying tuition and fees for students
24 receiving traineeships under subparagraph (A);

1 (C) establishing scientific internship pro-
2 grams for students receiving traineeships under
3 subparagraph (A) in computer and network se-
4 curity at for-profit institutions, nonprofit re-
5 search institutions, or government laboratories;
6 and

7 (D) other costs associated with the admin-
8 istration of the program.

9 (4) TRAINEESHIP AMOUNT.—Traineeships pro-
10 vided under paragraph (3)(A) shall be in the amount
11 of \$25,000 per year, or the level of the National
12 Science Foundation Graduate Research Fellowships,
13 whichever is greater, for up to 3 years.

14 (5) SELECTION PROCESS.—An institution of
15 higher education seeking funding under this sub-
16 section shall submit an application to the Director at
17 such time, in such manner, and containing such in-
18 formation as the Director may require. The applica-
19 tion shall include, at a minimum, a description of—

20 (A) the instructional program and research
21 opportunities in computer and network security
22 available to graduate students at the applicant's
23 institution; and

24 (B) the internship program to be estab-
25 lished, including the opportunities that will be

1 made available to students for internships at
2 for-profit institutions, nonprofit research insti-
3 tutions, and government laboratories.

4 (6) REVIEW OF APPLICATIONS.—In evaluating
5 the applications submitted under paragraph (5), the
6 Director shall consider—

7 (A) the ability of the applicant to effec-
8 tively carry out the proposed program;

9 (B) the quality of the applicant's existing
10 research and education programs;

11 (C) the likelihood that the program will re-
12 cruit increased numbers of students, including
13 students from groups historically underrep-
14 resented in computer and network security re-
15 lated disciplines, to pursue and earn doctorate
16 degrees in computer and network security;

17 (D) the nature and quality of the intern-
18 ship program established through collaborations
19 with government laboratories, nonprofit re-
20 search institutions, and for-profit institutions;

21 (E) the integration of internship opportu-
22 nities into graduate students' research; and

23 (F) the relevance of the proposed program
24 to current and future computer and network se-
25 curity needs.

1 (7) AUTHORIZATION OF APPROPRIATIONS.—

2 There are authorized to be appropriated to the Na-
3 tional Science Foundation to carry out this
4 subsection—

5 (A) \$10,000,000 for fiscal year 2003;

6 (B) \$20,000,000 for fiscal year 2004;

7 (C) \$20,000,000 for fiscal year 2005;

8 (D) \$20,000,000 for fiscal year 2006; and

9 (E) \$20,000,000 for fiscal year 2007.

10 (d) GRADUATE RESEARCH FELLOWSHIPS PROGRAM
11 SUPPORT.—Computer and network security shall be in-
12 cluded among the fields of specialization supported by the
13 National Science Foundation's Graduate Research Fellow-
14 ships program under section 10 of the National Science
15 Foundation Act of 1950 (42 U.S.C. 1869).

16 (e) CYBER SECURITY FACULTY DEVELOPMENT
17 TRAINEESHIP PROGRAM.—

18 (1) IN GENERAL.—The Director shall establish
19 a program to award grants to institutions of higher
20 education to establish traineeship programs to en-
21 able graduate students to pursue academic careers
22 in cyber security upon completion of doctoral de-
23 grees.

1 (2) MERIT REVIEW; COMPETITION.—Grants
2 shall be awarded under this section on a merit-re-
3 viewed competitive basis.

4 (3) APPLICATION.—Each institution of higher
5 education desiring to receive a grant under this sub-
6 section shall submit an application to the Director at
7 such time, in such manner, and containing such in-
8 formation as the Director shall require.

9 (4) USE OF FUNDS.—Funds received by an in-
10 stitution of higher education under this paragraph
11 shall—

12 (A) be made available to individuals on a
13 merit-reviewed competitive basis and in accord-
14 ance with the requirements established in para-
15 graph (7);

16 (B) be in an amount that is sufficient to
17 cover annual tuition and fees for doctoral study
18 at an institution of higher education for the du-
19 ration of the graduate traineeship, and shall in-
20 clude, in addition, an annual living stipend of
21 \$25,000; and

22 (C) be provided to individuals for a dura-
23 tion of no more than 5 years, the specific dura-
24 tion of each graduate traineeship to be deter-

1 mined by the institution of higher education, on
2 a case-by-case basis.

3 (5) REPAYMENT.—Each graduate traineeship
4 shall—

5 (A) subject to paragraph (5)(B), be subject
6 to full repayment upon completion of the doc-
7 toral degree according to a repayment schedule
8 established and administered by the institution
9 of higher education;

10 (B) be forgiven at the rate of 20 percent
11 of the total amount of the graduate traineeship
12 assistance received under this section for each
13 academic year that a recipient is employed as a
14 full-time faculty member at an institution of
15 higher education for a period not to exceed 5
16 years; and

17 (C) be monitored by the institution of
18 higher education receiving a grant under this
19 subsection to ensure compliance with this sub-
20 section.

21 (6) EXCEPTIONS.—The Director may provide
22 for the partial or total waiver or suspension of any
23 service obligation or payment by an individual under
24 this section whenever compliance by the individual is
25 impossible or would involve extreme hardship to the

1 individual, or if enforcement of such obligation with
2 respect to the individual would be unconscionable.

3 (7) ELIGIBILITY.—To be eligible to receive a
4 graduate traineeship under this section, an indi-
5 vidual shall—

6 (A) be a citizen, national, or lawfully ad-
7 mitted permanent resident alien of the United
8 States;

9 (B) demonstrate a commitment to a career
10 in higher education.

11 (8) CONSIDERATION.—In making selections for
12 graduate traineeships under this paragraph, an in-
13 stitution receiving a grant under this subsection
14 shall consider, to the extent possible, a diverse pool
15 of applicants whose interests are of an interdiscipli-
16 nary nature, encompassing the social scientific as
17 well as the technical dimensions of cyber security.

18 (9) AUTHORIZATION OF APPROPRIATIONS.—
19 There are authorized to be appropriated to the Na-
20 tional Science Foundation to carry out this para-
21 graph \$5,000,000 for each of fiscal years 2003
22 through 2007.

23 **SEC. 6. CONSULTATION.**

24 In carrying out sections 4 and 5, the Director shall
25 consult with other Federal agencies.

1 **SEC. 7. FOSTERING RESEARCH AND EDUCATION IN COM-**
2 **PUTER AND NETWORK SECURITY.**

3 Section 3(a) of the National Science Foundation Act
4 of 1950 (42 U.S.C. 1862(a)) is amended—

5 (1) by striking “and” at the end of paragraph
6 (6);

7 (2) by striking “Congress.” in paragraph (7)
8 and inserting “Congress ; and”; and

9 (3) by adding at the end the following:

10 “(8) to take a leading role in fostering and sup-
11 porting research and education activities to improve
12 the security of networked information systems.”.

13 **SEC. 8. NATIONAL INSTITUTE OF STANDARDS AND TECH-**
14 **NOLOGY PROGRAMS.**

15 (a) RESEARCH PROGRAM.—The National Institute of
16 Standards and Technology Act (15 U.S.C. 271 et seq.)
17 is amended—

18 (1) by moving section 22 to the end of the Act
19 and redesignating it as section 32;

20 (2) by inserting after section 21 the following
21 new section:

22 “SEC. 22. RESEARCH PROGRAM ON SECURITY OF
23 COMPUTER SYSTEMS

24 “(a) ESTABLISHMENT.—The Director shall establish
25 a program of assistance to institutions of higher education
26 that enter into partnerships with for-profit entities to sup-

1 port research to improve the security of computer systems.
2 The partnerships may also include government labora-
3 tories and nonprofit research institutions. The program
4 shall—

5 “(1) include multidisciplinary, long-term re-
6 search;

7 “(2) include research directed toward address-
8 ing needs identified through the activities of the
9 Computer System Security and Privacy Advisory
10 Board under section 20(f); and

11 “(3) promote the development of a robust re-
12 search community working at the leading edge of
13 knowledge in subject areas relevant to the security
14 of computer systems by providing support for grad-
15 uate students, post-doctoral researchers, and senior
16 researchers.

17 “(b) FELLOWSHIPS.—

18 “(1) POST-DOCTORAL RESEARCH FELLOW-
19 SHIPS.—The Director is authorized to establish a
20 program to award post-doctoral research fellowships
21 to individuals who are citizens, nationals, or lawfully
22 admitted permanent resident aliens of the United
23 States and are seeking research positions at institu-
24 tions, including the Institute, engaged in research
25 activities related to the security of computer sys-

1 tems, including the research areas described in sec-
2 tion 4(a)(1) of the Cyber Security Research and De-
3 velopment Act.

4 “(2) SENIOR RESEARCH FELLOWSHIPS.—The
5 Director is authorized to establish a program to
6 award senior research fellowships to individuals
7 seeking research positions at institutions, including
8 the Institute, engaged in research activities related
9 to the security of computer systems, including the
10 research areas described in section 4(a)(1) of the
11 Cyber Security Research and Development Act. Sen-
12 ior research fellowships shall be made available for
13 established researchers at institutions of higher edu-
14 cation who seek to change research fields and pursue
15 studies related to the security of computer systems.

16 “(3) ELIGIBILITY.—

17 “(A) IN GENERAL.—To be eligible for an
18 award under this subsection, an individual shall
19 submit an application to the Director at such
20 time, in such manner, and containing such in-
21 formation as the Director may require.

22 “(B) STIPENDS.—Under this subsection,
23 the Director is authorized to provide stipends
24 for post-doctoral research fellowships at the
25 level of the Institute’s Post Doctoral Research

1 Fellowship Program and senior research fellow-
2 ships at levels consistent with support for a fac-
3 ulty member in a sabbatical position.

4 “(c) AWARDS; APPLICATIONS.—

5 “(1) IN GENERAL.—The Director is authorized
6 to award grants or cooperative agreements to insti-
7 tutions of higher education to carry out the program
8 established under subsection (a). No funds made
9 available under this section shall be made available
10 directly to any for-profit partners.

11 “(2) ELIGIBILITY.—To be eligible for an award
12 under this section, an institution of higher education
13 shall submit an application to the Director at such
14 time, in such manner, and containing such informa-
15 tion as the Director may require. The application
16 shall include, at a minimum, a description of—

17 “(A) the number of graduate students an-
18 ticipated to participate in the research project
19 and the level of support to be provided to each;

20 “(B) the number of post-doctoral research
21 positions included under the research project
22 and the level of support to be provided to each;

23 “(C) the number of individuals, if any, in-
24 tending to change research fields and pursue
25 studies related to the security of computer sys-

1 tems to be included under the research project
2 and the level of support to be provided to each;
3 and

4 “(D) how the for-profit entities, nonprofit
5 research institutions, and any other partners
6 will participate in developing and carrying out
7 the research and education agenda of the part-
8 nership.

9 “(d) PROGRAM OPERATION.—

10 “(1) MANAGEMENT.—The program established
11 under subsection (a) shall be managed by individuals
12 who shall have both expertise in research related to
13 the security of computer systems and knowledge of
14 the vulnerabilities of existing computer systems. The
15 Director shall designate such individuals as program
16 managers.

17 “(2) MANAGERS MAY BE EMPLOYEES.—Pro-
18 gram managers designated under paragraph (1) may
19 be new or existing employees of the Institute or indi-
20 viduals on assignment at the Institute under the
21 Intergovernmental Personnel Act of 1970, except
22 that individuals on assignment at the Institute
23 under the Intergovernmental Personnel Act of 1970
24 shall not directly manage such employees.

1 “(3) MANAGER RESPONSIBILITY.—Program
2 managers designated under paragraph (1) shall be
3 responsible for—

4 “(A) establishing and publicizing the broad
5 research goals for the program;

6 “(B) soliciting applications for specific re-
7 search projects to address the goals developed
8 under subparagraph (A);

9 “(C) selecting research projects for support
10 under the program from among applications
11 submitted to the Institute, following consider-
12 ation of—

13 “(i) the novelty and scientific and
14 technical merit of the proposed projects;

15 “(ii) the demonstrated capabilities of
16 the individual or individuals submitting the
17 applications to successfully carry out the
18 proposed research;

19 “(iii) the impact the proposed projects
20 will have on increasing the number of com-
21 puter security researchers;

22 “(iv) the nature of the participation
23 by for-profit entities and the extent to
24 which the proposed projects address the
25 concerns of industry; and

1 “(v) other criteria determined by the
2 Director, based on information specified
3 for inclusion in applications under sub-
4 section (c); and

5 “(D) monitoring the progress of research
6 projects supported under the program.

7 “(4) REPORTS.—The Director shall report to
8 the Senate Committee on Commerce, Science, and
9 Transportation and the House of Representatives
10 Committee on Science annually on the use and re-
11 sponsibility of individuals on assignment at the In-
12 stitute under the Intergovernmental Personnel Act
13 of 1970 who are performing duties under subsection
14 (d).

15 “(e) REVIEW OF PROGRAM.—

16 “(1) PERIODIC REVIEW.—The Director shall
17 periodically review the portfolio of research awards
18 monitored by each program manager designated in
19 accordance with subsection (d). In conducting those
20 reviews, the Director shall seek the advice of the
21 Computer System Security and Privacy Advisory
22 Board, established under section 21, on the appro-
23 priateness of the research goals and on the quality
24 and utility of research projects managed by program
25 managers in accordance with subsection (d).

1 “(2) COMPREHENSIVE 5-YEAR REVIEW.—The
2 Director shall also contract with the National Re-
3 search Council for a comprehensive review of the
4 program established under subsection (a) during the
5 5th year of the program. Such review shall include
6 an assessment of the scientific quality of the re-
7 search conducted, the relevance of the research re-
8 sults obtained to the goals of the program estab-
9 lished under subsection (d)(3)(A), and the progress
10 of the program in promoting the development of a
11 substantial academic research community working at
12 the leading edge of knowledge in the field. The Di-
13 rector shall submit to Congress a report on the re-
14 sults of the review under this paragraph no later
15 than 6 years after the initiation of the program.

16 “(f) DEFINITIONS.—In this section:

17 “(1) COMPUTER SYSTEM.—The term ‘computer
18 system’ has the meaning given that term in section
19 20(d)(1).

20 “(2) INSTITUTION OF HIGHER EDUCATION.—
21 The term ‘institution of higher education’ has the
22 meaning given that term in section 101(a) of the
23 Higher Education Act of 1965 (20 U.S.C.
24 1001(a)).”.

1 (b) AMENDMENT OF COMPUTER SYSTEM DEFINI-
2 TION.—Section 20(d)(1)(B)(i) of National Institute of
3 Standards and Technology Act (15 U.S.C. 278g–
4 3(d)(1)(B)(i)) is amended to read as follows:

5 “(i) computers and computer net-
6 works;”.

7 (c) CHECKLISTS FOR GOVERNMENT SYSTEMS.—

8 (1) IN GENERAL.—The Director of the National
9 Institute of Standards and Technology shall develop,
10 and revise as necessary, a checklist setting forth set-
11 tings and option selections that minimize the secu-
12 rity risks associated with each computer hardware or
13 software system that is, or is likely to become, wide-
14 ly used within the Federal government.

15 (2) PRIORITIES FOR DEVELOPMENT; EXCLUDED
16 SYSTEMS.—The Director of the National Institute of
17 Standards and Technology may establish priorities
18 for the development of checklists under this para-
19 graph on the basis of the security risks associated
20 with the use of the system, the number of agencies
21 that use a particular system, the usefulness of the
22 checklist to Federal agencies that are users or po-
23 tential users of the system, or such other factors as
24 the Director determines to be appropriate. The Di-
25 rector of the National Institute of Standards and

1 Technology may exclude from the application of
2 paragraph (1) any computer hardware or software
3 system for which the Director of the National Insti-
4 tute of Standards and Technology determines that
5 the development of a checklist is inappropriate be-
6 cause of the infrequency of use of the system, the
7 obsolescence of the system, or the inutility or im-
8 practicability of developing a checklist for the sys-
9 tem.

10 (3) DISSEMINATION OF CHECKLISTS.—The Di-
11 rector of the National Institute of Standards and
12 Technology shall make any checklist developed under
13 this paragraph for any computer hardware or soft-
14 ware system available to each Federal agency that is
15 a user or potential user of the system.

16 (4) AGENCY USE REQUIREMENTS.—The devel-
17 opment of a checklist under paragraph (1) for a
18 computer hardware or software system does not—

19 (A) require any Federal agency to select
20 the specific settings or options recommended by
21 the checklist for the system;

22 (B) establish conditions or prerequisites
23 for Federal agency procurement or deployment
24 of any such system;

1 (C) represent an endorsement of any such
2 system by the Director of the National Institute
3 of Standards and Technology; nor

4 (D) preclude any Federal agency from pro-
5 curing or deploying other computer hardware or
6 software systems for which no such checklist
7 has been developed.

8 (d) FEDERAL AGENCY INFORMATION SECURITY
9 PROGRAMS.—

10 (1) IN GENERAL.—In developing the agency-
11 wide information security program required by sec-
12 tion 3534(b) of title 44, United States Code, an
13 agency that deploys a computer hardware or soft-
14 ware system for which the Director of the National
15 Institute of Standards and Technology has developed
16 a checklist under subsection (c) of this section—

17 (A) shall include in that program an expla-
18 nation of how the agency has considered such
19 checklist in deploying that system; and

20 (B) may treat the explanation as if it were
21 a portion of the agency's annual performance
22 plan properly classified under criteria estab-
23 lished by an Executive Order (within the mean-
24 ing of section 1115(d) of title 31, United States
25 Code).

1 (2) LIMITATION.—Paragraph (1) does not
2 apply to any computer hardware or software system
3 for which the National Institute of Standards and
4 Technology does not have responsibility under sec-
5 tion 20(a)(3) of the National Institute of Standards
6 and Technology Act (15 U.S.C.278g-3(a)(3)).

7 **SEC. 9. COMPUTER SECURITY REVIEW, PUBLIC MEETINGS,**
8 **AND INFORMATION.**

9 Section 20 of the National Institute of Standards and
10 Technology Act (15 U.S.C. 278g–3) is amended by adding
11 at the end the following new subsection:

12 “(e) AUTHORIZATION OF APPROPRIATIONS.—There
13 are authorized to be appropriated to the Secretary
14 \$1,060,000 for fiscal year 2003 and \$1,090,000 for fiscal
15 year 2004 to enable the Computer System Security and
16 Privacy Advisory Board, established by section 21, to iden-
17 tify emerging issues, including research needs, related to
18 computer security, privacy, and cryptography and, as ap-
19 propriate, to convene public meetings on those subjects,
20 receive presentations, and publish reports, digests, and
21 summaries for public distribution on those subjects.”.

22 **SEC. 10. INTRAMURAL SECURITY RESEARCH.**

23 Section 20 of the National Institute of Standards and
24 Technology Act (15 U.S.C. 278g–3), as amended by this
25 Act, is further amended by redesignating subsection (e)

1 as subsection (f), and by inserting after subsection (d) the
2 following:

3 “(e) INTRAMURAL SECURITY RESEARCH.—As part of
4 the research activities conducted in accordance with sub-
5 section (b)(4), the Institute shall—

6 “(1) conduct a research program to address
7 emerging technologies associated with assembling a
8 networked computer system from components while
9 ensuring it maintains desired security properties;

10 “(2) carry out research associated with improv-
11 ing the security of real-time computing and commu-
12 nications systems for use in process control; and

13 “(3) carry out multidisciplinary, long-term,
14 high-risk research on ways to improve the security
15 of computer systems.”.

16 **SEC. 11. AUTHORIZATION OF APPROPRIATIONS.**

17 There are authorized to be appropriated to the Sec-
18 retary of Commerce for the National Institute of Stand-
19 ards and Technology—

20 (1) for activities under section 22 of the Na-
21 tional Institute of Standards and Technology Act, as
22 added by section 8 of this Act—

23 (A) \$25,000,000 for fiscal year 2003;

24 (B) \$40,000,000 for fiscal year 2004;

25 (C) \$55,000,000 for fiscal year 2005;

1 (D) \$70,000,000 for fiscal year 2006;

2 (E) \$85,000,000 for fiscal year 2007; and

3 (2) for activities under section 20(f) of the Na-
4 tional Institute of Standards and Technology Act, as
5 added by section 10 of this Act—

6 (A) \$6,000,000 for fiscal year 2003;

7 (B) \$6,200,000 for fiscal year 2004;

8 (C) \$6,400,000 for fiscal year 2005;

9 (D) \$6,600,000 for fiscal year 2006; and

10 (E) \$6,800,000 for fiscal year 2007.

11 **SEC. 12. NATIONAL ACADEMY OF SCIENCES STUDY ON**
12 **COMPUTER AND NETWORK SECURITY IN**
13 **CRITICAL INFRASTRUCTURES.**

14 (a) STUDY.—Not later than 3 months after the date
15 of the enactment of this Act, the Director of the National
16 Institute of Standards and Technology shall enter into an
17 arrangement with the National Research Council of the
18 National Academy of Sciences to conduct a study of the
19 vulnerabilities of the Nation's network infrastructure and
20 make recommendations for appropriate improvements.
21 The National Research Council shall—

22 (1) review existing studies and associated data
23 on the architectural, hardware, and software
24 vulnerabilities and interdependencies in United
25 States critical infrastructure networks;

1 (2) identify and assess gaps in technical capa-
2 bility for robust critical infrastructure network secu-
3 rity and make recommendations for research prior-
4 ities and resource requirements; and

5 (3) review any and all other essential elements
6 of computer and network security, including security
7 of industrial process controls, to be determined in
8 the conduct of the study.

9 (b) REPORT.—The Director of the National Institute
10 of Standards and Technology shall transmit a report con-
11 taining the results of the study and recommendations re-
12 quired by subsection (a) to the Senate Committee on Com-
13 merce, Science, and Transportation and the House of Rep-
14 resentatives Committee on Science not later than 21
15 months after the date of enactment of this Act.

16 (c) SECURITY.—The Director of the National Insti-
17 tute of Standards and Technology shall ensure that no in-
18 formation that is classified is included in any publicly re-
19 leased version of the report required by this section.

20 (d) AUTHORIZATION OF APPROPRIATIONS.—There
21 are authorized to be appropriated to the Secretary of Com-
22 merce for the National Institute of Standards and Tech-
23 nology for the purposes of carrying out this section,
24 \$700,000.

1 **SEC. 13. COORDINATION OF FEDERAL CYBER SECURITY RE-**
2 **SEARCH AND DEVELOPMENT**

3 The Director of the National Science Foundation and
4 the Director of the National Institute of Standards and
5 Technology shall coordinate the research programs au-
6 thorized by this Act or pursuant to amendments made by
7 this Act. The Director of the Office of Science and Tech-
8 nology Policy shall work with the Director of the National
9 Science Foundation and the Director of the National In-
10 stitute of Standards and Technology to ensure that pro-
11 grams authorized by this Act or pursuant to amendments
12 made by this Act are taken into account in any govern-
13 ment-wide cyber security research effort.

14 **SEC. 14. OFFICE OF SPACE COMMERCIALIZATION.**

15 Section 8(a) of the Technology Administration Act of
16 1998 (15 U.S.C. 1511e(a)) is amended by inserting “the
17 Technology Administration of” after “within”.

18 **SEC. 15. TECHNICAL CORRECTION OF NATIONAL CON-**
19 **STRUCTION SAFETY TEAM ACT.**

20 Section 2(c)(1)(d) of the National Construction Safe-
21 ty Team Act is amended by striking “section 8;” and in-
22 serting “section 7;”.

23 **SEC. 16. GRANT ELIGIBILITY REQUIREMENTS AND COMPLI-**
24 **ANCE WITH IMMIGRATION LAWS.**

25 (a) IMMIGRATION STATUS.—No grant or fellowship
26 may be awarded under this Act, directly or indirectly, to

1 any individual who is in violation of the terms of his or
2 her status as a nonimmigrant under section
3 101(a)(15)(F), (M), or (J) of the Immigration and Na-
4 tionality Act (8 U.S.C. 1101(a)(15)(F), (M), or (J)).

5 (b) ALIENS FROM CERTAIN COUNTRIES.—No grant
6 or fellowship may be awarded under this Act, directly or
7 indirectly, to any alien from a country that is a state spon-
8 sor of international terrorism, as defined under section
9 306(b) of the Enhanced Border Security and VISA Entry
10 Reform Act (8 U.S.C. 1735(b)), unless the Secretary of
11 State determines, in consultation with the Attorney Gen-
12 eral and the heads of other appropriate agencies, that such
13 alien does not pose a threat to the safety or national secu-
14 rity of the United States.

15 (c) NON-COMPLYING INSTITUTIONS.—No grant or
16 fellowship may be awarded under this Act, directly or indi-
17 rectly, to any institution of higher education or non-profit
18 institution (or consortia thereof) that has—

19 (1) materially failed to comply with the record-
20 keeping and reporting requirements to receive non-
21 immigrant students or exchange visitor program
22 participants under section 101(a)(15)(F), (M), or
23 (J) of the Immigration and Nationality Act (8
24 U.S.C. 1101(a)(15)(F), (M), or (J)), or section 641
25 of the Illegal Immigration Reform and Responsibility

1 Act of 1996 (8 U.S.C. 1372), as required by section
2 502 of the Enhanced Border Security and VISA
3 Entry Reform Act (8 U.S.C. 1762); or
4 (2) been suspended or terminated pursuant to
5 section 502(c) of the Enhanced Border Security and
6 VISA Entry Reform Act (8 U.S.C. 1762(c)).

7 **SEC. 17. REPORT ON GRANT AND FELLOWSHIP PROGRAMS.**

8 Within 24 months after the date of enactment of this
9 Act, the Director, in consultation with the Assistant to the
10 President for National Security Affairs, shall submit to
11 Congress a report reviewing this Act to ensure that the
12 programs and fellowships are being awarded under this
13 Act to individuals and institutions of higher education who
14 are in compliance with the Immigration and Nationality
15 Act (8 U.S.C. 1101 et seq.) in order to protect our na-
16 tional security.

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